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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/963,246
Filing Date	September 26, 2001
First Named Inventor	Daugherty
Group Art Unit	2162
Examiner Name	Not yet assigned
Attorney Docket Number	102.006

Total Number of Pages in This Submission

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Technology Center 2100

## ENCLOSURES (check all that apply)

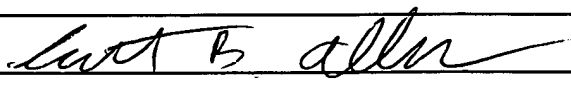
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| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s)<br>Remarks | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form PTO-1449, 5 cited references, and Acknowledgement Postcard. |
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GROUP 3600

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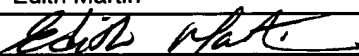
Firm or Individual name	Scott B. Allison
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## Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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**GROUP 3600**

Applicants: DAUGHERTY et al.

Group Art Unit: 2162

Application No.: 09/963,246

Examiner: Not Yet Assigned

**Filing Date: September 26, 2001**

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

For: SYSTEM AND METHOD FOR  
FACILITATING INFORMATION  
REQUESTS

Attorney Docket No.: I02.006

Buckley, Maschoff, Talwalkar & Allison LLC  
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(203) 972-0006

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on January 7, 2003.

Dated: January 7, 2003 By:

By:

Edith Martin

Commissioner for Patents  
Washington, DC 20231

**Sir:**

**This Supplemental Information Disclosure Statement is submitted:**

- ☒ under 37 CFR 1.97(b);  
(within 3 months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
- ☐ Statement under 37 CFR 1.97(e), or
  - ☐ \$ \_ fee under 37 CFR 17(p); or  
(after 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)



- ☐ under 37 CFR 1.97(d) together with a:
- ☐ Statement under 37 CFR 1.97(e),
  - ☐ Petition under 37 CFR 1.97(d)(2)(ii), and
  - ☐ \$ \_ petition fee under 37 CFR 17(i).  
(after 37 CFR 1.97(c) time period, but before payment of issue fee)

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

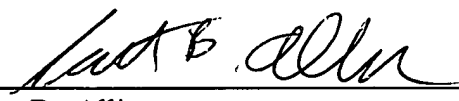
The filing of this Information Disclosure Statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this Information Disclosure Statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

No fee is due for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(b) before the mailing date of a first Office Action.

Respectfully submitted,

January 7, 2003  
Date

  
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